

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA**

CASE NO. 18-cv-61991-BB

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

**1 GLOBAL CAPITAL, LLC, and
CARL RUDERMAN,**

Defendants, and

**1 WEST CAPITAL, LLC,
BRIGHT SMILE FINANCING, LLC,
BRR BLOCK, INC.,
DIGI-SOUTH, INC.,
GANADOR ENTERPRISES, LLC,
MEDIA PAY, LLC,
PAY NOW DIRECT, LLC, and
RUDERMAN FAMILY TRUST,**

Relief Defendants.

**PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S UNOPPOSED
MOTION FOR AN ASSET FREEZE AND OTHER RELIEF
AGAINST DEFENDANT CARL RUDERMAN**

Plaintiff Securities and Exchange Commission's hereby moves for an Unopposed Motion for an Asset Freeze and Other Relief against Defendant Carl Ruderman. By the attached Consent, Ruderman has agreed to the entry of the attached proposed Order granting the Commission's Motion for Asset Freeze and Other Relief.¹

Accordingly, the Commission requests that the Court enter the attached Proposed Order.

¹ Contemporaneously with filing this notice, the Commission is also filing a Notice that Relief Defendant Ruderman Family Trust has Not Showed Cause and Proposed Order to Continue the Asset Freeze and Other Relief against the Ruderman Family Trust and a Consent and Proposed Order to Continue the Asset Freeze and Other Relief against Relief Defendants Bright Smile Financing, LLC; BRR Block Inc.; Digi South, LLC; Ganador Enterprises, LLC; Media Pay LLC; and Pay Now Direct LLC. If the Court enters the proposed Orders, this will obviate the need for the September 20 hearing.

September 19, 2018

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on September 19, 2018, the foregoing document was filed electronically with the Clerk of Court using CM/ECF and that a true and correct copy of the filed document was served via CM/ECF on all counsel or parties of record.

s/ Christopher E. Martin
Christopher E. Martin, Esq.

SERVICE LIST

SEC v 1 Global LLC et al., Case No. 18-cv-61991-BB, U.S.D.C. (SD Fla.)

Via CM/ECF

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and Relief Defendant 1 West Capital LLC*

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PAY NOW DIRECT, LLC, and
RUDERMAN FAMILY TRUST,**

Relief Defendants.

**CONSENT OF DEFENDANT CARL RUDERMAN TO AN ASSET FREEZE ORDER
AND OTHER RELIEF**

1. Defendant Carl Ruderman, by and through his undersigned counsel, acknowledges having been served with the Summons and the Complaint in this action, enters a general appearance, and admits the Court's jurisdiction over him and over the subject matter of this action.

2. Without admitting or denying the allegations of the Complaint (except as to personal and subject matter jurisdiction, which he admits), Ruderman hereby consents to the entry of the Asset Freeze Order and Other Relief against Defendant Carl Ruderman (the "Asset Freeze Order") in the form attached hereto and incorporated by reference herein.

3. Ruderman enters into this Consent voluntarily and represent that no threats offers, promises, or inducements of any kind have been made by the Commission or any member, officer, employee, agent, or representative of the Commission to induce Ruderman or anyone acting on his behalf to enter into this Consent.

4. Ruderman agrees this Consent shall be incorporated into the Asset Freeze Order with the same force and effect as if fully set forth therein.

5. Ruderman agrees the Commission may present the attached proposed Asset Freeze Order to be entered by the Court in this action, and further agrees the Court shall retain jurisdiction over him and over the subject matter of this action.

6. Ruderman agrees the Commission does not confer on him or any other person or entity immunity from any criminal proceedings against them or other persons or entities for any actions related to or arising from this or any other matter.

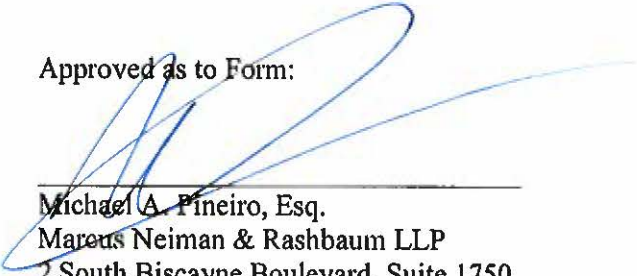
7. Ruderman waives any right he may have to appeal from the entry of the Asset Freeze Order. This Consent and the Asset Freeze Order are without prejudice to Ruderman's right to apply to the Court to modify or vacate the Asset Freeze Order and to appeal any denial of such an application. Ruderman understands the Consent and Asset Freeze Order are also without prejudice to the Commission's right to oppose: (a) any application to modify or vacate the Asset Freeze Order; or (b) any appeal by Ruderman.

8. Ruderman waives service of the Asset Freeze Order and agrees to the entry of the Asset Freeze Order by the Court and filing with the Clerk in the Southern District of Florida will constitute notice to him of the terms and conditions of the Asset Freeze Order.

9. Ruderman agrees he will not oppose the enforcement of the Asset Freeze Order on the ground, if any exists, that it fails to comply with Rule 65(d) of the Federal Rules of Civil Procedure, and hereby waives any objection based thereon.

Approved as to Form:

By:


Michael A. Pineiro, Esq.
Marcus Neiman & Rashbaum LLP
2 South Biscayne Boulevard, Suite 1750
Miami, FL 33131
Counsel for Defendant Carl Ruderman


I, Defendant Carl Ruderman, have had the benefit of the advice of competent legal counsel, hereby consents to the Court's Entry of the Asset Freeze Order.

September 18, 2018

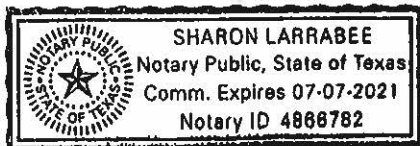
By: 
Carl Ruderman

Texas
STATE OF ~~FLORIDA~~)
) ss:
COUNTY OF Travis)

On this 18th day of September 2018, before me personally appeared Carl Ruderman who is personally known to me or _____ produced a driver's license bearing his name and photograph as identification, and who executed this Consent, and he acknowledged to me that he executed the same.



Notary Public



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MEDIA PAY LLC,
PAY NOW DIRECT LLC, and
RUDERMAN FAMILY TRUST,**

Relief Defendants.

**ORDER GRANTING PLAINTIFF'S UNOPPOSED MOTION FOR AN ASSET FREEZE
ORDER AND OTHER RELIEF AGAINST DEFENDANT CARL RUDERMAN**

This cause comes before the Court upon Plaintiff Securities and Exchange Commission's Unopposed Motion for an Asset Freeze Order and Other Relief Against Defendant Carl Ruderman ("Asset Freeze Motion), which seeks the following orders:

- (1) An Order Continuing the Freezing the Assets of Defendant Carl Ruderman; and
- (2) An Order Prohibiting Destruction of Documents against Defendant Carl Ruderman.

The Court finds that good cause exists for the Court to grant the Commission's Asset Freeze Motion. In addition, by the Consent annexed hereto, without admitting or denying any of the allegations in the Complaint filed by the Commission, except that he admits the allegations as

to the jurisdiction of this Court over him and the subject matter of this action, Ruderman has agreed to the entry of this Order.

Accordingly, the motion is **GRANTED**, and the Court hereby orders as follows:

I.

ASSET FREEZE

IT IS ORDERED AND ADJUDGED that until further order of this Court:

- A. Defendant Carl Ruderman, his respective directors, officers, agents, servants, employees, attorneys, depositories, banks, and those persons in active concert or participation with any one or more of them, and each of them, who receive notice of this Order by personal service, mail, email, facsimile transmission or otherwise, be and hereby are, restrained from, directly or indirectly, transferring, setting off, receiving, changing, selling, pledging, assigning, liquidating or otherwise disposing of, or withdrawing any assets or property, including but not limited to cash, free credit balances, fully paid for securities, personal property, real property, and/or property pledged or hypothecated as collateral for loans, or charging upon or drawing from any lines of credit, owned by, controlled by, or in the possession of, whether jointly or singly, and wherever located; and
- B. Any financial or brokerage institution or other person or entity holding any such funds or other assets, in the name, for the benefit or under the control of Defendant Carl Ruderman, directly or indirectly, held jointly or singly, and wherever located, and which receives actual notice of this Order by personal service, mail, email, facsimile, or otherwise shall hold and retain within its control and prohibit the withdrawal, removal, transfer, disposition, pledge, encumbrance,

assignment, set off, sale, liquidation, dissipation, concealment, or other disposal of any such funds or other assets, including, but not limited to, the following accounts:

Bank Of America:

- Carl Ruderman, account ending in 6994;

Lincoln Financial Group:

- Annuity, Contract Number ending in 9843; and

UBS Group AG:

- Accounts ending in 0565, 0566, and 0567.

II.

RECORDS PRESERVATION

IT IS FURTHER ORDERED AND ADJUDGED that until further Order of the Court Defendant Carl Ruderman, any of his directors, officers, agents, servants, employees, attorneys, depositories, banks, and those persons in active concert or participation with any one or more of them, and each of them, be and they hereby are restrained and enjoined from, directly or indirectly, destroying, mutilating, concealing, altering, disposing of, or otherwise rendering illegible in any manner, any of the books, records, documents, correspondence, brochures, manuals, papers, ledgers, accounts, statements, obligations, files and other property of or pertaining to any of the Defendants or Relief Defendants, wherever located and in whatever form, electronic or otherwise, until further Order of this Court.

III.

RETENTION OF JURISDICTION

IT IS FURTHER ORDERED AND ADJUDGED that this Court shall retain jurisdiction over this matter and Defendant Carl Ruderman in order to implement and carry out the terms of all Orders and Decrees that may be entered and/or to entertain any suitable

application or motion for additional relief within the jurisdiction of this Court, and will order other relief that this Court deems appropriate under the circumstances.

DONE AND ORDERED this ____ day of September 2018, at _____, Florida.

BETH BLOOM
UNITED STATES DISTRICT JUDGE

Copies to all parties and counsel of record